



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Claussen et al.**

Serial No.: **09/409,598**

Filing Date: **09/30/1999**

For: **Extensible Markup Language (XML)
Server Pages Having Custom Document
Object Model (DOM) Tags**

§ Group Art Unit: **2176**

§ Examiner: **Bieneman, C.**

§ Attorney Docket No.: **AT9-99-412**

Certificate of Mailing
Under 37 C.F.R. § 1.8(a)

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By: 

Joseph R. Burwell, Reg. No 44,468

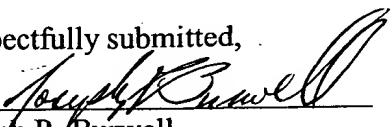
TRANSMITTAL DOCUMENT

Transmitted herewith are the following:

- ☒ Information Disclosure Statement (IDS);
- ☒ Form PTO-1449;
- ☒ Copy of references for accompanying IDS (26);
- ☒ A self-addressed, stamped postcard to be returned to sender.

DATE: July 7, 2003

Respectfully submitted,


Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

P.O. Box 28022

Austin, Texas 78755

Voice: 866-728-3688 (866-PATENT8)

Fax: 866-728-3680 (866-PATENT0)

Email: joe@burwell.biz

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

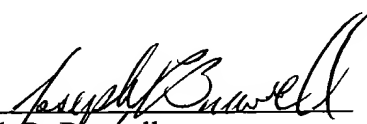
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) after the mailing date of a first action on the merits but before the mailing of a final action or before the mailing of a notice of allowance. Please charge IBM Corporation Deposit Account No. 09-0447 in the amount \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p). Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

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Date: July 7, 2003

Respectfully submitted,



Joseph R. Burwell
Reg. No. 44,468
ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell
P.O. Box 28022
Austin, Texas 78755
Voice: 866-728-3688 (866-PATENT8)
Fax: 866-728-3680 (866-PATENT0)
Email: joe@burwell.biz



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Form PTO-1449

**LIST OF PRIOR ART CITED
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ATTORNEY DOCKET NO.

AT9-99-412

SERIAL NO.

09/409,598

APPLICANT

Claussen et al.

FILING DATE

09/30/1999

GROUP ART UNIT

2176

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	US 6,347,307 B1	02/12/2002	Sandhu et al.		
	US 6,381,743 B1	04/30/2002	Mutschler, III		
	6,014,680	01/11/2000	Sato et al.		
	US 6,418,446 B1	07/09/2002	Lection et al.		
	US 6,480,865 B1	11/12/2002	Lee et al.		

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			APPLICANT Claussen et al.			
			FILING DATE 09/30/1999		GROUP ART UNIT 2176	
U.S. PATENT DOCUMENTS						
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FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY		CLASS/SUBCLASS	TRANSLATION YES NO
OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>						
		<div style="text-align: right;">RECEIVED JUL 11 2003 Technology Center 2100</div>				
		World Wide Web Consortium (W3C), "Namespaces in XML", W3C Recommendation 14 January 1999, www.w3.org .				
		"XML-Based Templates for Generating Artifacts from Java-Based Models", RD 416103, <i>Research Disclosure</i> , p. 1678, December 1998.				
		"Parameterized XSL Style Sheets", RD 423110, <i>Research Disclosure</i> , p. 1009, July 1999.				
		"Converting HTML to Well Formed XML With Preference Based Tag Expansion", RD 423111, <i>Research Disclosure</i> , p. 1011, July 1999.				
		World Wide Web Consortium (W3C), "HTML 4.0 Specification", W3C Recommendation 24 April 1998, www.w3.org , pp. 238-240.				
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		Kung, "The Next Generation Web Servers", MU-SPIN Ninth Annual Users' Conference, 09/27/1999			
		Sundsted, "Java Makes the most of XML", <i>JavaWorld Magazine</i> , July 1999.			
		Gonsalves, "Lutris' server divides duties", <i>eWeek</i> , www.zdnet.com/zdnn, 07/11/1999.			
		Hildyard, "An XML Document to JavaScript Object Converter", <i>Web Techniques</i> , v. 4, n. 1, pp. 63-69, 1999.			
		Gardner, "Open-source application server enters the fray", <i>InfoWorld</i> , 04/14/1999.			
		Wood, "The Web Document API", SoftQuad, Inc., 1999.			
		Fields, "Java Servlets for JavaScripters", http://web.archive.org , 01/1999.			
		Lie et al., "Multipurpose Web Publishing Using HTML, XML, and CSS", <i>Comm. of the ACM</i> , v. 42, n. 10, October 1999.			
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